

RECEIVED  
CENTRAL FAX CENTER

DEC 13 2005

**FACSIMILE  
TRANSMISSION  
TO USPTO**

## CERTIFICATION OF FACSIMILE TRANSMISSION:

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE  
TRANSMITTED TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450,  
ALEXANDRIA, VA 22313 ON THE DATE SHOWN BELOW:Date of Transmission: **DECEMBER 13, 2005**  
Name of Person Making Transmission: Robert Faber  
Signature: *Robert Faber*

DOCUMENT(S) FAXED:

PAGES ATTACHED: 2

Re Applic of	Bruce B. Doris, et al.
Docket No.	FIS920040152US1
Serial No.	10/711,200
Filing Date	09/01/04
Attorney	Joseph P. Abate

Attached: **Supplemental Information Disclosure Statement  
Information Disclosure Citation****PLEASE DELIVER TO:**  
**EXAMINER: Unknown**  
**ART UNIT: 2811**  
**CONFIRMATION NO.: 5199**  
**PHONE NO:**  
**FAX NO: 571-273-8300**

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE  
INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED,  
AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED,  
CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER  
APPLICABLE LAW. IF THE READER OF THIS MESSAGE  
IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE  
OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE  
TO THE INTENDED RECIPIENT, YOU ARE HEREBY  
NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION  
OR COPYING OF THIS COMMUNICATION IS STRICTLY  
PROHIBITED. IF YOU HAVE RECEIVED THIS  
COMMUNICATION IN ERROR, PLEASE NOTIFY US  
IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL  
MESSAGE TO US AT THE ADDRESS TO THE RIGHT VIA  
THE U.S. POSTAL SERVICE.

## INTERNATIONAL BUSINESS MACHINES CORPORATION

Intellectual Property Law  
East Fishkill Facility  
2070 Route 52  
Hopewell Junction  
New York 12533-6531Fax: 845-892-6363  
Phone: 845-894-2580

I hereby certify that this correspondence is being facsimile transmitted to: The Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on December 13, 2005.

Name of Person Making Transmission: Robert Faber  
Date of Transmission: December 13, 2005

Robert Faber 12-13-05  
(Signature & date)

RECEIVED  
CENTRAL FAX CENTER

DEC 13 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of _____:	Date: December 13, 2005
Bruce B. Doris, et al. _____:	Group Art Unit: 2811
Serial No: 10/711,200 _____:	Examiner: Unknown
Filed: 09/01/04 _____:	International Business Machines Corporation 2070 Route 52 Hopewell Junction, NY 12533

**TITLE:** MULTI-GATE DEVICE WITH HIGH K DIELECTRIC FOR CHANNEL TOP SURFACE

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. 1.56, and further pursuant to the provisions of 37 C.F.R. 1.97 and 1.98, applicants hereby respectfully submit copies of the US and non-US patents and publications as listed on Form PTO-1449, attached hereto.

In citing these documents, no representation is made nor intended as to the pertinency or non-pertinency of the art, that better art than that listed is not available, or that other art is not applicable.

No fee is believed to be due for this submission. If any fees are required, however, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 09-0458.

Respectfully submitted,  
Bruce B. Doris, et al.

By

Joseph A. Abate 12-13-05  
Joseph A. Abate  
Attorney for Applicant  
Registration No. 30,238  
Telephone No. 845-894-4663  
Fax No. 845-892-6363

FIS920040152US1

<b>RECEIVED</b> <b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i> <b>DEC 13 2005</b>				Docket Number (Optional) <b>FIS920040152US1</b>		Application Number <b>10/711,200</b>	
<b>CENTRAL FAX CENTER</b>				Applicant(s) <b>Bruce B. Doris, et al.</b>			
<b>DEC 13 2005</b>				Filing Date <b>09/01/04</b>		Group Art Unit <b>2811</b>	

  

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,940,716	08/17/99	Jin, et al.			
		5,981,356	11/09/99	Hsueh, et al.			
		6,080,637	06/27/00	Huang, et al.			
		6,093,621	07/25/00	Tseng			
		6,583,060	06/24/03	Trivedi			

  

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

  

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

  

OTHER DOCUMENTS			(Including Author, Title, Date, Pertinent Pages, Etc.)

  

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.